

**FINAL REPORTS ISSUED THIS QUARTER****2011/12 Audits**

<b>Audit Area</b>	<b>Brief Scope</b>	<b>Opinion</b>
<b>CAS</b>		
SSID System Review	An assurance review that evaluated the effectiveness of system access controls to the Social Services Information Database	Moderate

**2012/13 Audits**

<b>Audit Area</b>	<b>Brief Scope</b>	<b>Opinion</b>
<b>CAS</b>		
Durham Federation	Assurance review of the school's financial management and key governance arrangements	Substantial
St Mary Magdalen RC Aided School	Assurance review of the school's financial management and key governance arrangements	Substantial
Sacriston Nursery	Assurance review of the school's financial management and key governance arrangements	Moderate
School Benevolent Fund	Annual Audit of Financial Accounts	N/A
Shared Lives Service	A high level assurance review of the effectiveness of governance arrangements in place relating to risks associated with the Shared Lives Service	Substantial
<b>NS</b>		
Building Maintenance QMS	An advice and guidance type review, carried out at the request of the service, relating to the quality management system and procedures prior to external inspections	N/A
Gala Theatre	An assurance review of the management of risks associated with productions and contracts	Moderate
Public Health	An assurance review of the management of risks associated with the licensing of Houses of Multiple Occupation	Moderate
Fleet Management – Non Council Services	An assurance review of the management of risks associated with MOT centres	Moderate
Budgetary Control – Technical Services	An assurance review carried out at the request of the service of the management of risks associated with financial management within Highways operations	Moderate
<b>RED</b>		
Capital Programme	Advice and consultancy review of project arrangements relating to a major contract.	N/A
HR ALMOs Contract Monitoring	Assurance review of the risk management arrangements relating in place to ensure that; <ul style="list-style-type: none"> <li>- Financial information is accurate</li> <li>- Rental income due is collected</li> <li>- Performance data is managed and monitored</li> <li>- Non decent homes are identified and repaired</li> </ul>	Full
Supported Housing – Care Connect	Assurance review of the risk management arrangements in place to ensure that the service collects all of the funding	Full

<b>Audit Area</b>	<b>Brief Scope</b>	<b>Opinion</b>
(Income and Funding arrangements)	/income that it is entitled to.  The scope of this review was reduced due to the reliability of assurance provided through the annual assessment by a third party inspection (Telecare Services Association).	
Utility Bills	Assurance review of the risk management arrangements in place relating to energy contracts and the payment of invoices	Moderate
<b>RES</b>		
Review of Creditor Payments	Counter fraud reviews to identify potential duplicate payments.	N/A
RIPA	Assurance review of the risk management arrangements in place relating to the operation of activities carried out under the Regulation of Investigatory Powers Act (2000)	Substantial
Treasury Management	Assurance review of the risk management arrangements in place relating to Short Term Investments. -	Moderate
Housing and Council Tax Benefits	Assurance review of the risk management arrangements in place relating to payment of benefit.	Moderate
Housing Benefit Grant	Assurance review of the risk management arrangements in place relating to preparation and submission of housing benefit grant claims	Moderate